

101-14-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC511 U.S. PTO  
01/09/02

Box New Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No.: 21521-300101

JC879 U.S. PTO  
10/043591  
01/09/02

FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of :

Earl Vickers and Jean-Marc Jot

For: "METHOD AND APPARATUS FOR AUDIO LOUDNESS AND DYNAMICS  
MATCHING"

ENCLOSURES

- ☒ 29 pages of application including specification, claims and abstract;
  - ☒ 12 sheets (Figs. 1 - 12) of ☐ informal/ ☒ formal drawings;
  - ☒ A Declaration (☒ signed/ ☐ unsigned);
  - ☒ A postcard for return to us as proof of receipt of the referenced documents.
- and
- ☐ An Assignment of the invention with an assignment cover sheet;
  - ☐ Applicant claims small entity status (Under 37 CFR 1.27);
  - ☒ IDS (form PTO-1449) and copies of 17 references;
  - ☐ An Associate Power of Attorney;
  - ☐ A Power of Attorney by Assignee;
  - ☒ Other: Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

TYPE OF FILING

- ☐ This application claims the benefit of an earlier filed Application (35 USC 120).
- ☐ This application claims the benefit of the priority date of earlier filed provisional patent application (35 USC 119).
- ☒ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745082012US addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on January 9, 2002 by Sharyl R. Brown.

Date: January 9, 2002

*Sharyl R. Brown*  
Sharyl R. Brown

Attorney Docket No.: 21521-300101  
PATENT FILING TRANSMITTAL

## FEE PAYMENT

### Fee Calculation (37 CFR § 1.16)

|   | (Col. 1)<br>NO. FILED | (Col. 2)<br>NO. EXTRA | SMALL ENTITY<br>RATE FEE | OR | LARGE ENTITY<br>RATE FEE |
|---|-----------------------|-----------------------|--------------------------|----|--------------------------|
| BASIC FEE   |                       |                       | \$370 \$                 | OR | \$740 \$740.00           |
| TOTAL CLAIMS  | 21                    | -20 = 01              | x09 = \$                 | OR | x18 = \$ 18.00           |
| INDEP CLAIMS  | 04                    | -03 = 01              | x42 = \$                 | OR | x84 = \$ 84.00           |
| [ ] Multiple Dependent Claim Presented                                |                       |                       | \$140 = \$               | OR | \$280 = \$               |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. |                       |                       | Total \$                 | OR | Total \$842.00           |

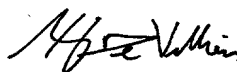
☒ Check No. 127345 in the amount of \$842.00 is enclosed.

## FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$ \_\_\_\_\_
- ☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
  - ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☒ A duplicate copy of this sheet is enclosed.

Dated: January 9, 2002

Respectfully submitted,



P. Francois de Villiers  
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Attorney Docket No.: 21521-300101  
PATENT FILING TRANSMITTAL

SV: 241808 v01 01/09/2002

First Named Inventor: Earl Vickers

Title: METHOD AND APPARATUS FOR AUDIO LOUDNESS AND DYNAMICS MATCHING

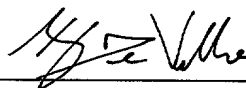
Attorney Docket No. 21521-300101

**Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 9, 2002

Date



Signature

P. Francois de Villiers

Reg. No. 48,200

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The request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).